

The Intensive Course

Tuition Fee	*Resitter Price (HK\$)	*Loyalty Price (HK\$)	Early Bird Price (HK\$)	Original Price (HK\$)
Level I Enroll on or before				
<ul style="list-style-type: none"> Classroom Tuition Schweser Live Online Weekly Class SchweserNotes™ and Quicksheet™ (eBook) Schweser Practice Exams (Online) Mock Exam & Review (6 hours) 	5 Dec 2020	5 Dec 2020	19 Dec 2020	-
	<input type="checkbox"/> \$8,900	<input type="checkbox"/> \$13,200	<input type="checkbox"/> \$14,500	<input type="checkbox"/> \$16,500
Add on: SchweserPro™ Qbank (Online)	<input type="checkbox"/> \$1,000			
Level I				
Add on^: SchweserNotes™ with Schweser's Quicksheet™ (Print)	<input type="checkbox"/> \$500			
Level I Enroll on or before				
Mock Exam & Review (Standalone)	19 Dec 2020	-	-	-
	<input type="checkbox"/> \$900	<input type="checkbox"/> \$1,000		

Resitter Price: Special resit discount is applicable to candidates who will be resitting the same level of CAIA exam in Mar 2021. To enjoy this offer, you have to attach the order form with
 1) Proof of past CAIA exam result for same level
 2) Proof of current exam registration for same level. The names on 1) & 2) must match. This offer cannot be combined with any other offers, discounts and promotions (except Facebook discount).
 *Loyalty price is for Kaplan Financial 2007 to 2020 prep course student only
 ^Must be added upon enrollment

Total HK\$

Kaplan Schweser Study Tools

Level I Level II

Repeating discount:

Special repeat discount is applicable to the candidates who
 A) Will be repeating the same level of CAIA® exam in Mar 2021 and
 B) Purchased the SchweserNotes™, Essential Self-Study, EssentialPlus, Premium Instruction or PremiumPlus™ Study Solution for the Sep 2020 CAIA® exam

To enjoy this offer, you have to attach the order form with

- Copy of Kaplan Schweser official receipt
- Proof of past CAIA® exam result
- Proof of current exam registration
The names on 1), 2), 3) must match.
This offer cannot be combined with any other offers, discounts and promotions.

Study Solution	Normal Price (HK\$)	Repeating Discount (HK\$)
PremiumPlus™ Package	<input type="checkbox"/> \$7,900 <input type="checkbox"/> Print version <input type="checkbox"/> Electronic version <input type="checkbox"/> Both Add \$500 [#]	<input type="checkbox"/> \$3,950 <input type="checkbox"/> Print version <input type="checkbox"/> Electronic version <input type="checkbox"/> Both Add \$500 [#]
Premium Package	<input type="checkbox"/> \$6,400	<input type="checkbox"/> \$3,200
EssentialPlus Package	<input type="checkbox"/> \$5,400	N/A
Essential Package	<input type="checkbox"/> \$3,900	N/A

Individual Item	Normal Price (HK\$)
Online Exam Review Workshop Package	<input type="checkbox"/> \$2,200 with Schweser's Secret Sauce <input type="checkbox"/> Print <input type="checkbox"/> eBook
Flashcards	<input type="checkbox"/> \$1,200
Schweser's Secret Sauce Book	<input type="checkbox"/> \$950 <input type="checkbox"/> Print <input type="checkbox"/> eBook

[#]must be added upon enrollment

Total HK\$

Others

Texas Instruments BA-II Plus™ Financial Calculator \$350

Total Payable(HK\$)

Enrollment Form / Schweser Order Form

*Must complete | # Classroom students must complete

Personal Particulars

New Student Existing Student

Surname* _____ | Mr/Mrs/Miss
(Name on HKID or Passport)

Forename* _____

Year of Birth# _____ | (YYYY) I have reached the age of 18 or above

Proof of Identity* HKID No. _____ | or Passport No. _____ |
(Only first 4 characters and please skip this fill if just purchase calculator) (Nationality: _____)

CAIA Candidate No.# _____
For the purpose of satisfying Education Bureau requirement and Kaplan to obtain overall class performance statistics from CAIAA, Kaplan is unable to obtain individual exam results.

Company _____

Position _____

Postal Address _____

Cell Phone* _____ | Office Phone _____

Fax _____

E-mail* _____
This will be your primary contact. Please provide a valid email and preferably not hotmail/ netvigator.

Education

Highest Education Achieved# Bachelor's degree, Major in _____
 Master's degree in _____
 Doctoral degree in _____
 Final year of bachelor's degree
 Non-degree but have at least a Form 5 education with passes in Maths and English (Syllabus B)
 Professional qualifications (if any) _____

Method of Payment

Cheque No _____ | Cash EPS
(payable to "Kaplan Financial (HK) Limited." Cheque should be received by Kaplan Financial 7 working days before course commencement. We do not accept personal cheques for payment of Schweser products.)
 Credit Card Installment (Transaction over \$10,000 only. Must enroll in person.)
 Credit Card (full payment) VISA AMEX MasterCard

For Email, Fax and Post Order Only

Card Number _____ | Expiry Date _____ / _____ / _____
 Card Holder _____ | (as appears on the card)
 Signature _____

Declarations:

- I declare that all information provided in this form and all attached documents are, to the best of my knowledge, accurate and complete.
- I have understood and hereby agree to provide relevant proof of my academic qualifications and work experience upon request from Kaplan Financial at any time.
- I consent that I will abide by all the Rules, Regulations, and Code of Conduct of your company.
- I have read, understood and hereby agree to the terms and conditions stipulated on the brochure, enrollment form and on Kaplan Financial's website.
- I wish to receive Kaplan Information by email in the future.

Signature _____ | Date _____

FOR OFFICIAL USE ONLY:

F-S00: _____
 ST20000: _____
 PY: _____
 HK\$: _____
 APP#: _____
 Date: ____ / ____ / ____
 Handler: _____

Refer to overleaf for terms and conditions/enrollment instruction

BY EMAIL "hkcaia@kaplan.com"
if full payment is made by credit card

BY MAIL OR IN PERSON
installment must be processed in person

Kaplan Financial (HK) Limited

Address: G/F to 3/F, E-Tech Centre, Nos 402-406 Hennessy Road, Wanchai (Causeway Bay MTR Exit A)

Tel: 2526 3686
Fax: 2501 0589

Opening Hours 9:00am – 8:00pm (Mon – Fri); 9:00am – 6:00pm (Sat and Sun)
Closed on Public Holidays

(P.T.O.)

Terms and conditions/ Enrollment instruction

Payment Methods

1) Visa / Master / Amex Card

Payment can be made in person at our E-Tech Centre Campus, by fax to +852 2501 0589 or by mail G/F to 3/F, E-Tech Centre, Nos 402-406 Hennessy Road, Wanchai, Hong Kong.

Interest-free installment: Payment has to be made in person at our Campus. Cardholders of HSBC VISA / Master card and selected Amex Cards can enjoy a 6-month (HSBC) or 12-month (Amex) interest-free installment for fees of \$10,000 or above in single payment. Amex Cardholders, please call us first at +852 2526 3686 to check your credit card eligibility.

Credit cards bearing the American Express name, brand or logo issued by its licensees in Hong Kong are eligible for the Interest Free Installment Plan. Credit Cards, Corporate Cards, and US Dollars Cards issued in Hong Kong by American Express International, Inc. and all American Express Cards issued outside of Hong Kong are not eligible for this Interest-free Installment Plan. Please check with Kaplan for details.

2) Cash /EPS

Fees can be paid by cash or EPS at our E-Tech Centre Campus.

3) Cheque

Fees can be paid by crossed cheque made payable to "Kaplan Financial (HK) Limited". Please mail the cheque and all required documents to G/F to 3/F, E-Tech Centre, Nos 402-406 Hennessy Road, Wanchai, Hong Kong. Kaplan will not be responsible for any loss of payment sent by mail. If you are enrolling a course that will commence in less than 7 working days, you are advised against using cheque in view of delay arising from postage delay and bank clearance. We do not accept cheques for payment of Schweser product.

Classroom tuition

We will acknowledge your successful enrollment into the intensive course by giving you an official receipt in person (by post 2 weeks after your submission if enrolled by fax or mail). If we require more information/documentary evidence from you, or we are unable to accept you onto your chosen class, we will contact you by phone or email. If you do not hear from us, please check with us at +852 2526 3686.

Course details (including confirmed dates and teaching venue) will be emailed to you 3 working days prior to course commencement. If you do not hear from us, please check with us at +852 2526 3686.

Kaplan Schweser Study Materials

We will confirm your order by giving you an official receipt in person (By post 1 week after your submission if enrolled by fax or mail).

Original receipt must be presented for collection.

If you cannot collect your orders yourself, you may authorize (in writing) a third party to collect on your behalf. The third party must present the original receipt for collection.

Products will be time released according to a global release schedule (subject to change without notice). For product availability, please check on: <https://www.kaplan.com.hk/kfm>

Date of collection is subject to availability of different materials. You must collect all materials before March 31, 2021.

Terms and Conditions:

Enrollment Policy

- Enrollments will only be recognized upon receipt of full fee payment and completed enrollment form before a course begins.
- Course administration instructions will be emailed to participants 3 working days prior to the course commencement.
- Kaplan Financial reserves the right to cancel a course if enrollment is insufficient and/or make alterations regarding trainers, class locations, class schedules, topic sequence and time allocated to each topic should the circumstances so required.

Attendance Policy

- Students must sign in for each session as their record of attendance.
- Students have to achieve at least 70% attendance and bear record to qualify for certificate of attendance. No appeal for attendance rate will be entertained.
- Students are not allowed to transfer their enrollment, transfer class, or attend other courses without Kaplan's written or email approval.
- Regular attendance check will be conducted throughout the course without notice.
- Under all circumstances, only the enrolled and paid students can attend our lectures or use the student supporting services. Kaplan does not accept substitutes, in whole or in part, to obtain services or attend classes and do not accept any payment on the spot. If students see any suspicious act of illegal attendance during the class, please alert our staff.
- Students who have entered into the Kaplan premises will be bound by Kaplan Financial's terms and conditions.

Performance of Certification for CPT purpose

- Our course can fulfill SFC CPT requirements. Subjects relevant to the individuals' function and which may help to enhance the performance of their job functions would meet CPT purpose. Participants should note that your employer, as the corporate licensee of your SFC registration, is responsible for determining whether the training you receive are of appropriate standard and relevance to your maintaining and enhancing the technical knowledge and professional expertise. Please check the course topics and schedule with your employer before registering for our course.
- Students who achieved at least 70% attendance will be awarded a certificate within two weeks from the completion of the course.
- It is a matter of discretion for individual employers to recognize any qualification to which this course may lead.

Class Materials and stationery

- Class materials will be distributed to students on regular intervals – first on the first day of the course and also during the progression of the course.
- Students must bring stationery and the financial calculator (Texas Instrument BAII Plus or HP 12C) to the class. If students have not used HP 12C financial calculator before, we recommend Texas Instrument BAII Plus. Students must bring all course notes collected to class. Kaplan Financial does not have spare copies to lend.

Catch up video

- Kaplan Financial does not warrant that the catch-up videos will be uninterrupted or error-free. All catch-up videos are provided on an "as is, as available" basis.
- Attendance will not be counted for watching of a video for CPT or attendance purpose.
- Booking of catch-up video is on a first-come-first-served basis. Priority is offered to students who were absent on particular sessions.
- Videos cannot be lent, copied or recorded.
- Videos will be available for booking 7 working days after the session. Bookings must be made 3 working days in advance and confirmation from Kaplan is required.
- HK\$100 deposit will be required during registration.
- You agree to meet the minimum system requirements set forth for Kaplan Financial's online requirement. It is a limited license to use the content until March 2021 exam date. All online resources are to be used for the student's own personal use and benefit. Unauthorized use of the online resources may be subject to legal action. (For online catch up video only)

Re-issuance of official receipt

- An administration fee of HK\$50 will be charged for each re-issuance of official receipt.

Cancellation Policy

- 50% course fee is refundable at any time up to two weeks prior to the course commencement date. No refund is allowed thereafter.
- Cost of course notes, all Kaplan Schweser products and financial calculators are not refundable.
- Cancellations will be subject to an administrative fee of HK\$300 per course.
- Funds received but not utilized or refunded in accordance with these Terms and Conditions will be forfeited to Kaplan Financial.
- Refund will only be arranged upon the receipt of all necessary documents and course fee paid will be refunded to the participant by cheque.

Transferal and Deferral Policy

- No transferal or deferral of the course is allowed.

Copyright Policy

- All class materials are for the sole use by registered students only. All materials, in whole or in part, may not be reproduced, shared, lent, copied or transmitted in any format or by any means, electronic or mechanical, including photocopying, recording, or by any information storage and retrieval system, without written permission from Kaplan. The unauthorized duplication of class materials is a violation of global copyright laws.
- The Schweser materials purchased are to be used for the purchaser's own personal use and benefit. Unauthorized commercial resale of Schweser materials is prohibited. Shared use of paper materials, and Online Access is prohibited. All products are non-transferrable. Users must not share the username and password with anyone. Schweser reserves all its rights in the event of a violation of this policy, which may include suspension of purchaser's privileges and enforcement of Schweser's right under law and equity.

Kaplan Schweser's online access products

- Kaplan Schweser does not warrant that online access will be uninterrupted or error-free. Online access to www.schweser.com is provided on an "as is, as available" basis. You agree to meet the minimum system requirements set forth for Kaplan Schweser's electronic products. Sharing or selling online access is prohibited. All online access is non-transferrable.
- After the order is successfully processed, you will receive login information assigned by Kaplan Schweser within seven working days.

Kaplan Schweser Purchase

- Sales of all products are final, and non-returnable once order is placed and payment received.
- It is a limited license to use the content only between the times of access is granted and the exam date for the March 2021 exam. Kaplan bears no responsibility to extend the access period, regardless of your actual exam date.

Collection of Kaplan Schweser Materials

- Date of collection is subject to availability of different materials. Purchasers must present official receipt to collect the materials before March 31, 2021.

Notes to Visa Holder Applicants

- Holders of valid working visas or dependency visas are welcomed to consult Kaplan for admissions to live classes. If the existing visa does not indicate your eligibility for staying and/or studying in Hong Kong, Please further consult the Immigration Department of the HKSAR Government. (website: <http://www.immd.gov.hk>; telephone: (852) 2824 6111). The Immigration Department is fully empowered for the processing of visa application and the approval for the applicants' eligibility for staying and/or studying in Hong Kong. Kaplan's acceptance of your application for the live class does not warrant for successful visa approval from the Immigration Office. Visa holder applicants and students should be careful of their full compliance with the relevant immigration laws and ordinances during their staying and studying in Hong Kong.

Personal Data Protection

- Your personal data is collected and used by us for processing your application of admission, and for registration, administrative, verification, research, statistical and direct marketing purpose. We may also collect personal information to satisfy legal, government and statutory obligations. Provision of personal data is necessary, and without your personal data, we may not be able to provide you with services you require. We may share and transfer your personal data with other members of the Kaplan group and/or authorized third parties providing services to us in relation to the above purposes and/or other prescribed purposes as allowed by law from time to time. In all such circumstances, data will be treated in strict confidence.
- Under the provisions of the Personal Data (Privacy) Ordinance, applicants have rights to request access to, and to request correction of, his or her personal data. Applicants wishing to amend his or her data should fill out the prescribed form, and submit it to Kaplan. Applications may opt-out from receiving our direct marketing materials at any time.
- If you do not wish to receive our offers and updates, please email at hkmarketing.kf@kaplan.com for unsubscribe or tick the below box(es).
 - Unsubscribe from all Kaplan Financial emails
 - Unsubscribe from non-Financial Markets mailing list only
- Kaplan requires students to conduct themselves in accordance with the standards of their future professions. You can find students' responsibilities information at <https://www.kaplan.com.hk/code-of-conduct>.

Kaplan Financial reserves the right to vary or cancel any of the course described on this form or alter the composition of the relevant lecturing team as well as the venue for lectures should be circumstance so required. Terms and conditions are subject to change without notice. Please review the full and most updated version from our website www.kaplan.com.hk/kfm. These Terms and Conditions set out your entire agreement with Kaplan Financial for the particular course and supersede all previous agreements or understandings made (verbally or in writing) in relation to the course. All matters and disputes will be subject to the final decision of Kaplan Financial.

Kaplan Financial (HK) Limited

Tel: (852) 2526 3686 | Fax: (852) 2501 0589

Email: hkcaia@kaplan.com

www.kaplan.com.hk/kfm

E-Tech Centre Campus

G/F to 3/F, E-Tech Centre, Nos 402-406
Hennessy Road, Wanchai, Hong Kong
(Causeway Bay MTR Exit A)

Opening Hours

Mon-Fri 9:00am – 8:00pm
Sat, Sun 9:00am – 6:00pm
(Closed on Public Holidays)