



## Exam Format

Examination Papers		No. of MC Questions	Duration (minutes)	Passing Mark
<b>Regulatory Papers</b>				
Paper 1	Fundamentals of Securities and Futures Regulation	60	90	70%
Paper 2	Regulation of Securities	40	60	70%
Paper 3	Regulation of Derivatives	40	60	70%
Paper 4	Regulation of Credit Rating Services	40	60	70%
Paper 5	Regulation of Corporate Finance	40	60	70%
Paper 6	Regulation of Asset Management	40	60	70%
<b>Practical Papers</b>				
Paper 7	Financial Markets	60	90	70%
Paper 8	Securities	40	60	70%
Paper 9	Derivatives	40	60	70%
Paper 10	Credit Rating Services	40	60	70%
Paper 11	Corporate Finance	40	90	70%
Paper 12	Asset Management	40	60	70%
<b>Sponsor Papers</b>				
Paper 15	Sponsors (Principals)	40	60	70%
Paper 16	Sponsors (Representatives)	40	60	70%

### Contact Hong Kong Securities and Investment Institute (HKSI)

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