



Regulated Activities

Type 1 - Dealing in securities

Type 2 - Dealing in futures contracts

Type 3 - Leveraged foreign exchange trading

Type 4 - Advising on securities

Type 5 - Advising on futures contracts

Type 6 - Advising on corporate finance

Type 7 - Providing automated trading services

Type 8 - Securities margin financing

Type 9 - Asset management

Type 10 – Providing credit rating services

Contact SFC for all matters regarding licensing requirements.

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