

# Kaplan Quantitative Finance Certificate

## Enrollment Form

Module	Start Date		Early Registration			Regular Registration	
	2020	2021	Early Registration Deadline	Interactive Lectures only	With Capstone Project + Cert Exam <sup>#</sup>	Interactive Lectures only	With Capstone Project + Cert Exam <sup>#</sup>
<b>Module 1</b> Security Pricing (QSP)*	<input type="checkbox"/> Jul 18	<input type="checkbox"/> TBC	Jul 3, 2020	<input type="checkbox"/> \$10,000	<input type="checkbox"/> \$20,000	<input type="checkbox"/> \$12,500	<input type="checkbox"/> \$25,000
<b>Module 2</b> Portfolio Management (QPM)*	<input type="checkbox"/> Oct 3	<input type="checkbox"/> TBC	Aug 8, 2020	<input type="checkbox"/> \$10,000	<input type="checkbox"/> \$20,000	<input type="checkbox"/> \$12,500	<input type="checkbox"/> \$25,000
<b>Module 3</b> Algorithmic Trading (QAT)*	<input type="checkbox"/> Nov 14	<input type="checkbox"/> TBC	Oct 15, 2020	<input type="checkbox"/> \$13,000	<input type="checkbox"/> \$26,000	<input type="checkbox"/> \$16,500	<input type="checkbox"/> \$33,000
<b>Package</b> (incl. all three modules)*	(Check choice of Start Date above)		Jul 3, 2020	<input type="checkbox"/> \$27,000	<input type="checkbox"/> \$54,000	<input type="checkbox"/> \$33,000	<input type="checkbox"/> \$66,000
<b>Online Pre-Course and Entrance Exam (Optional)</b>	Complete at least 10 days before commencement						<input type="checkbox"/> \$800
						<input type="checkbox"/> Kaplan Alumnus gets \$500 discount x _____ modules	Deduct \$ _____
						<input type="checkbox"/> HKSFA Member gets \$1000 discount x _____ modules	Deduct \$ _____
						Net \$ _____	

<sup>#</sup>Exam fee waived

\* Trainer: Dr. Lawrence Ma

All fields are mandatory unless the field is inapplicable.

### Personal Particulars (Same name on your HKID Card/ Passport/ School Record)

Surname | \_\_\_\_\_ | Dr/Mr/Mrs/Miss

Forename | \_\_\_\_\_ | HKID / Passport No \_\_\_\_\_ Nationality \_\_\_\_\_

Year of Birth | \_\_\_\_\_ | (YYYY)  I have reached the age of 18 or above

Address | \_\_\_\_\_ |  
| \_\_\_\_\_ |

Mobile | \_\_\_\_\_ | Email | \_\_\_\_\_ |  
(Leave this blank if you are a university student)

Company | \_\_\_\_\_ | Position | \_\_\_\_\_ |

HKSF Membership no. | \_\_\_\_\_ |

### Payment Method

Is your employer sponsoring this program?

Yes, and intends to apply  with/ without RTPP (subject to Authority's approval)  No

Cheque No \_\_\_\_\_

*Payable to "Kaplan Financial (HK) Limited" and should be received by Kaplan 7 working days before commencement.*

Cash  EPS

Credit Card Installment

*Transaction of/over \$9,000 only. Must enroll in person. Do not send by fax/post. Refer to FAQ #2 on brochure for details.*

Credit Card Full Payment:  VISA  AMEX  Master Card

For Email, Fax and Post Order Only

Card Number | \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ | Expiry Date | \_\_\_\_ / \_\_\_\_ |

Card Holder | \_\_\_\_\_ | (as appears on the card)

Signature | \_\_\_\_\_ |

## Course Material Collection

- One-time free delivery to a local address from Kaplan  
Local delivery address: | \_\_\_\_\_ |
- Overseas delivery at extra cost  
(Please fill in the Courier Arrangement Request Form)
- Collect in person or authorize other persons (in writing) to collect from Kaplan
- Arrange own courier service to collect from Kaplan

## Education

Highest Education Achieved  University Student, Year of Grad: | \_\_\_\_\_ |  
 Bachelor  
 Master  
 Doctorate

Name of Tertiary Educational Institution | \_\_\_\_\_ |

Department | \_\_\_\_\_ |

Major or Program | \_\_\_\_\_ |

Other education (list any school programs, | \_\_\_\_\_ |  
employment, training or independent  
study) that you feel have been relevant  
preparing you to pursue a quantitative  
finance career:

## Experience

Programming language :  Julia  C/C++  
(if you enroll with capstone project)  Python  Java  
 MATLAB  Others: | \_\_\_\_\_ |

Have you taken these courses or gained relevant work experience?

Mathematics & Statistics:  Yes  No  
Programming:  Yes  No

How did you find out about this program?

Online search  Social Media  
 Event  News  
 University/Classmate/Professor  Employer  
 Friend/ Family/ Colleague  Others: | \_\_\_\_\_ |

### Declarations:

- I declare that all information provided in this form and all supplementary documents are, to the best of my knowledge, accurate and complete.
- I have understood and hereby agree to provide relevant proof of my academic qualifications, transcripts, and proof of work experience upon request from Kaplan at any time.
- I have understood and hereby agree that Kaplan has the right to decline my acceptance within two weeks of my enrollment, if Kaplan believes that I will have a low chance of success in the program.
- I consent that I will abide by the Code of Conduct of Kaplan.
- I have read, understood and hereby agree to the terms and conditions stipulated on the brochure, enrollment form and on Kaplan's website.

I wish to receive Kaplan information by email in the future.

- Unsubscribe from all Kaplan promotional emails on education information, alumni activities and benefits  
 Unsubscribe from mailing lists not related to FinTech and technology only

Signature | \_\_\_\_\_ |

Date | \_\_\_\_\_ |

### FOR OFFICIAL USE ONLY:

F-SO: \_\_\_\_\_  
HK\$: \_\_\_\_\_  
ST20000: \_\_\_\_\_  
APP#: \_\_\_\_\_  
PY: \_\_\_\_\_  
Date: \_\_\_\_\_  
Handler: \_\_\_\_\_